

1 TITLE PAGE

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3 **Type of article:** Case report

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5 **Title of the article**“Surgical Lip Repositioning as a Conservative Approach for the Correction
6 of Excessive Gingival Display: A Report of 2 cases”
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9
10 **ABSTRACT**

11 **Background:** Excessive gingival display (EGD), commonly known as gummy
12 smile, is a frequent esthetic concern with multifactorial etiology. Lip
13 repositioning surgery has been advocated as a conservative and minimally
14 invasive treatment option for selected cases.

15 **Case Presentation:** This clinical case series describes two female patients
16 presenting with excessive gingival display. Case 1 was associated with altered
17 passive eruption and was managed with esthetic crown lengthening followed by
18 lip repositioning. Case 2 was primarily related to hyperactive upper lip and was
19 treated using a modified frenum-preserving lip repositioning technique.

20 **Results:** Both cases demonstrated satisfactory healing, significant reduction in
21 gingival display, and improved smile esthetics. Stable outcomes were observed
22 during follow-up without major complications.

23 **Conclusion:** Lip repositioning surgery appears to be a predictable, effective, and
24 conservative approach for the management of excessive gingival display when
25 proper diagnosis and case selection are ensured.

26 **Keywords:** Excessive gingival display; Gummy smile; Lip repositioning;
27 Conservative surgery

28

29

30 **INTRODUCTION**

31 The smile is a key determinant of facial expression and esthetics, greatly
32 influencing perceived attractiveness and self-confidence. Beyond the teeth, lip
33 position, gingival contours, and the health of surrounding oral tissues
34 collectively contribute to a balanced and pleasing smile.

35 A gummy smile, clinically referred to as excessive gingival display (EGD), is
36 characterized by the exposure of more than 1.5–2 mm of gingival tissue during
37 smiling.⁽¹⁾EGD may compromise smile esthetics by creating excessive gingival
38 exposure relative to the teeth. Perception of unattractiveness varies among dental
39 professionals, laypersons, and different populations; however, gingival display
40 exceeding 3 mm is generally considered unaesthetic worldwide.^(2,3)

41

42 The literature describes multiple etiologic factors for excessive gingival display,
43 including vertical maxillary excess, reduced philtrum height, hyperactivity of the
44 upper lip elevator muscles, altered passive eruption, gingival enlargement, and
45 retroclination or supra-eruption of the maxillary incisors.⁽⁴⁾Vertical maxillary

46 excess (VME) is a skeletal discrepancy characterized by excessive vertical
47 growth of the maxilla, often associated with an increased lower facial height.

48 Bhola et al.⁽⁵⁾ classified the various dental, skeletal and soft tissue etiologic
49 factors resulting in EGD into five major categories as illustrated in Figure 1.

50



51

52 **Figure 1:** Classification of Excessive Gingival Display based on aetiology⁽⁵⁾

53 Management of excessive gingival display includes both surgical and
54 nonsurgical approaches. Surgical options comprise orthognathic surgery (with or
55 without V-Y plasty)⁽⁶⁾, lip repositioning, esthetic crown lengthening, and
56 gingivectomy, whereas nonsurgical modalities include botulinum toxin
57 injections and orthodontic intrusion of the maxillary teeth.⁽⁷⁾

58 Lip repositioning has been introduced as a conservative and comparatively less
59 invasive surgical option for the correction of excessive gingival display. The
60 technique was first described by Rubinstein and Kostianovsky in 1973.⁽⁸⁾The
61 technique involves excision of a strip of mucosa from the maxillary labial
62 vestibule to restrict superior lip elevation and thereby decrease gingival display

63 during smiling. Since its introduction, several modifications have been proposed
64 to enhance clinical outcomes. Modified lip repositioning ⁽⁹⁾, however, does not
65 include the maxillary labial frenum. Preservation of the maxillary labial frenum
66 helps maintain the dental midline, enhances smile symmetry, and avoids
67 morbidity associated with frenum excision.

68 Contraindications for lip repositioning include an inadequate zone of attached
69 gingiva, which may compromise flap design and suturing, as well as severe
70 vertical maxillary excess.⁽¹⁰⁾

71 The present case series evaluates the clinical effectiveness of lip repositioning
72 surgery for the management of excessive gingival display in selected patients.

73 **MATERIAL AND METHODS**

74 **CASE 1**

75 A 25-year-old female patient presented to the Department of Periodontology
76 with the chief complaint of excessive gingival display during smiling. Her
77 medical and family history was non-contributory. Extraoral examination showed
78 a bilaterally symmetrical face with lip incompetence. On smiling, marked
79 gingival exposure was noted from the maxillary right first molar to the maxillary
80 left first molar (Figure 2). Intraoral examination revealed short clinical crowns
81 without incisal wear, and gingival display measured approximately 6 mm using
82 a UNC-15 periodontal probe. Based on clinical findings, along with features

83 consistent with altered passive eruption; a diagnosis of moderate vertical
84 maxillary excess accompanied by hypermobility of the upper lip was
85 established. Esthetic crown lengthening was indicated to achieve optimal tooth
86 proportions. The patient exhibited a thick gingival biotype with an adequate
87 width of attached gingiva. As the patient preferred a minimally invasive
88 approach, informed consent was obtained after discussing alternative treatment
89 options, expected benefits, and possible complications of lip repositioning
90 surgery.

91 Treatment Plan: Based on the clinical findings, esthetic crown lengthening
92 followed by lip repositioning surgery was planned to reduce gingival exposure
93 by restricting superior lip movement during smiling and thereby enhance smile



102

103 **FIGURE 2:** *Clinical photographs of Case 1 showing preoperative excessive*
104 *gingival display, intraoperative stages of esthetic crown lengthening and lip*
105 *repositioning surgery, and postoperative smile esthetics at follow-up.*

106

107

108 **CASE 2**

109 A 26-year-old female patient presented to the Department of Periodontology
110 with the chief complaint of excessive gingival display during smiling. Intraoral
111 examination revealed healthy attached gingiva. The upper lip length measured
112 14 mm from the base of the nose to the superior border of the vermilion, and
113 gingival visibility during smiling was approximately 3–4 mm in the maxillary
114 central incisor region. The etiology was primarily attributed to a hyperactive
115 upper lip with a mild component of vertical maxillary excess. As the patient
116 declined orthognathic surgery, lip repositioning surgery was planned after
117 obtaining verbal and written informed consent.

118 **Treatment Plan:** The surgical protocol was similar to that of the previous case,
119 with the exception that the superior incision was positioned at a distance equal
120 to twice the gingival display, in accordance with the technique described by

121 Rosenblatt and Simon (2006)⁽¹⁰⁾; exclusion of midline tissue dissection and
122 omission of gingivectomy procedure.

123



124 **FIGURE 3: Clinical photographs of Case 2 showing preoperative EGD,**
125 **intraoperative steps of modified frenum-preserving lip repositioning**
126 **surgery, and postoperative smile esthetics at follow-up.**

127

128 **PRE-OPERATIVE SMILE**
Surgical Procedure

INCISION MARKING DONE

129 Following Phase I therapy, the surgical site was prepared under aseptic
130 conditions and local anesthesia (2% xylocaine with epinephrine 1:80,000) was

INCISION AND DEEPITHELILIZATION

POST OP 6 MONTHS

131 administered in the maxillary vestibular mucosa from the right to left first molar
132 region. In Case 1, gingivectomy was performed prior to lip repositioning to
133 correct gingival excess. Incision outlines were marked with a sterile indelible
134 pencil according to the technique of Rosenblatt and Simon⁽¹⁰⁾, with the inferior
135 incision placed at the mucogingival junction and the superior incision positioned
136 10–12 mm apical to it, extending from the right second premolar to the left
137 second premolar. A partial-thickness flap was excised, exposing the underlying
138 connective tissue. Initial interrupted suturing was performed at the midline to
139 ensure proper alignment, followed by continuous interlocking sutures to
140 approximate the flaps. The lip mucosa was secured to the mucogingival junction
141 bilaterally using 4-0 polyamide sutures. Postoperative medications included
142 NSAIDs and antibiotics. Patients were instructed to apply ice packs, restrict
143 excessive facial movements for 1 week, avoid brushing near the surgical site for
144 14 days, and rinse gently with 0.12% chlorhexidine gluconate twice daily for 2
145 weeks.

146 **CASE 2** Modified lip repositioning surgery(9,11)a partial-thickness incision was
147 placed at the mucogingival junction from the mesial line angle of the right
148 central incisor to the mesial line angle of the right first molar, followed by a
149 parallel incision 10–12 mm apical in the labial mucosa. The incisions were
150 joined laterally and in the central incisor region without involving the maxillary
151 labial frenum, creating a quadrilateral outline. The enclosed epithelium was

152 carefully excised, leaving the underlying connective tissue exposed. The same
153 procedure was repeated on the left side, with no incision placed across the
154 midline to preserve proper alignment. Care was taken to avoid injury to minor
155 salivary glands within the submucosa. The wound margins were approximated
156 using interrupted stabilization sutures with 4-0 polyamide. Postoperatively, the
157 patient was prescribed ibuprofen, amoxicillin, and advised to apply extraoral
158 cold packs to minimize swelling, along with routine postoperative instructions.

159 **RESULTS**

160 At the 1-week postoperative review, both patients reported only mild discomfort
161 with minimal extraoral swelling, and healing was satisfactory. Subsequent
162 follow-up at 1, 3, and 6 months demonstrated reduced gingival display with
163 stable clinical outcomes.

164 **DISCUSSION**

165 The smile line refers to the position of the lower border of the upper lip during
166 smiling and determines the extent of tooth and gingival display at the
167 dentogingival interface. Gingival exposure exceeding 2 mm is generally
168 regarded as excessive gingival display (EGD) or a gummy smile, which may
169 present esthetic concerns. The reported global prevalence of EGD ranges from
170 10.5% to 29%, with a higher occurrence in females than males at an
171 approximate ratio of 2:1.(12)

172 Excessive gingival display may be classified according to its etiology, with each
173 category requiring a tailored treatment approach. Class A (altered passive
174 eruption) is managed by clinical crown lengthening or an apically positioned
175 flap, with or without osseous resection, depending on the attached gingiva and
176 the position of the mucogingival junction. Class B (vertical maxillary excess) is
177 treated according to severity using botulinum toxin injections, lip repositioning,
178 orthodontic intrusion, crown lengthening, or orthognathic surgery. Class C
179 (gingival enlargement) is managed through plaque control, periodontal therapy,
180 medication modification, gingivectomy, or gingivoplasty. Class D (short
181 maxillary lip) may be treated with lip training exercises, whereas Class E
182 (hypermobile upper lip) is commonly corrected by lip repositioning with
183 excision of a mucosal strip.⁽⁵⁾

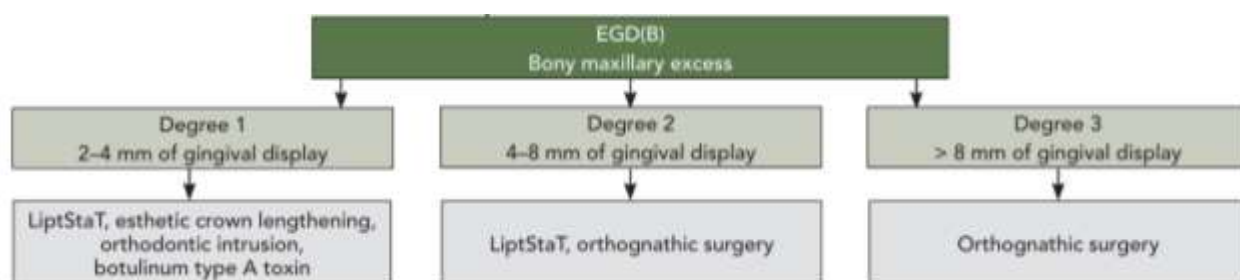
184 Lip repositioning was first described by Rubinstein and Kostianovsky in
185 1973.⁽¹³⁾ The objective of lip repositioning surgery is to limit superior lip
186 movement by restricting the activity of the elevator muscles, thereby reducing
187 excessive gingival display. Since its introduction, several modifications have
188 been proposed. In 1979, Litton and Fournier described a technique involving
189 detachment of the elevator muscles for cases with a short upper lip, which was
190 subsequently modified by Rosenblatt and Simon in 2006.⁽¹⁰⁾ Silva et al.
191 ⁽⁹⁾proposed preservation of the maxillary labial frenum as a modification of the
192 original Rubinstein and Kostianovsky technique. Maintaining the frenum helps

193 preserve the dental midline, improves smile symmetry, and avoids morbidity
194 associated with frenum excision.

195 The extent of partial-thickness tissue excision should correspond to
196 approximately twice the gingival display requiring correction, or be limited to a
197 maximum of 10–12 mm. Adhering to these dimensions may help minimize
198 relapse associated with scar contraction and muscular involvement within the
199 incision area.⁽¹⁴⁾

200 In both cases, gingival display was more pronounced during dynamic smiling
201 than at rest, and the primary concern was excessive gingival visibility while
202 smiling(6mm and 3mm respectively). In Case 1, shortened maxillary anterior
203 clinical crowns due to altered passive eruption, along with adequate attached
204 gingiva, were managed by external bevel gingivectomy followed by lip
205 repositioning. Case 2 presented with Class I EGD (3 mm) according to Bhola et
206 al. and was treated using the modified frenum-preserving technique described by
207 Silva et al., which facilitated lip-line maintenance and surgical handling.
208 Suturing was initiated at the midline and completed bilaterally.

209



210

211 **Figure2:** Classification and management of EGD due to bony maxillary
212 excess⁽⁵⁾

213 The procedure produced favourable esthetic outcomes with marked reduction in
214 gingival display and stable results at the 6-month follow-up. The patients' chief
215 complaint was effectively resolved, resulting in improved satisfaction and
216 confidence, with no major complications observed. Although postoperative
217 discomfort, bruising, edema, double lip, and suture loss have been reported in
218 the literature, our patients experienced only mild transient discomfort and
219 swelling that resolved within 7–10 days.

220 **CONCLUSION**

221 The lip repositioning surgery seems to be a predictable option for correction of
222 gummy smile with hyperactive lip muscles. The success rate of the procedure
223 depends on the proper case selection and stringent adherence to proper surgical
224 protocol.

225 **CONFLICT OF INTEREST**

226 The authors declare no conflict of interest.

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