

1 **Bacteriophage Therapy: A Resurgence in Combating Multidrug-Resistant Bacterial** 2 **Infections.**

3 **Abstract**

4 The emergence of multidrug-resistant (MDR) bacterial pathogens is a pressing issue that requires
5 the creation of new therapeutic approaches that differ from the conventional antibiotic mode of
6 action, like phage therapy. This paper offers a critical review of bacteriophage therapy, the
7 fundamental principles of phage biology and how they interact with bacterial hosts. Specifically
8 the challenges presented by biofilm formation and bacterial defense mechanisms. The review
9 also delves into the modern methods of overcoming such obstacles in the form of synergistic
10 therapeutic models and sophisticated bioengineering strategies. Analysis of current clinical data
11 favors the contention that phage therapies are a promising and versatile approach to addressing
12 the global challenge of MDR bacterial disease.

14 **Keywords**

15 Multidrug resistant bacteria, Bacteriophage, Antimicrobial resistance, Biofilm Disruption
16

17 **Introduction**

18 Bacteriophages- derived from “bacteria” and the Greek word “phagein”, meaning “to devour”-
19 are viruses that specifically infect and destroy certain bacterial cells.[1] Bacteriophages were first
20 observed in 1915 by William Twort, although their potential to kill bacterial cells and their
21 classification as viral agents wasn’t done till 2 years later, in 1917, by Felix d’Herelle.[2] He
22 suggested the active use of laboratory-generated phages for both the prevention and treatment of
23 bacterial infections.[3] This viewpoint, however, challenged the common consensus at the time,
24 namely that bacteriophages were bacterially produced lytic enzymes, causing d’Herelle’s theory
25 to be met with hostility.[3] The use of phage therapy itself was then undermined by mainly 2 key
26 aspects, the discovery of antibiotics, which were broad spectrum, and the outbreak of the second
27 world war.[3] The electron microscope provided the first images of the bacteriophage,
28 confirming d’Herelle’s theory of bacteriophages being viral agents.[3] These images were
29 produced in Germany, which was viewed as a hostile nation at the time to say the least.

30
31 Due to this, bacteriophage therapy never caught on in the west after the pre antibiotics era.
32 Though it became and remained prevalent in places like the former USSR, and is still widely
33 employed for therapeutic purposes in these regions. Phage therapy is used mostly on
34 compassionate grounds in the rest of the world today due to a lack of clinical trials and research.
35

36 As MDR bacteria become increasingly prevalent, however, more and more of our antibiotics are
37 rendered useless, and the creation of new antibiotics to combat these resistant strains has slowed
38 drastically. This has become a major health concern across the globe. According to a report by
39 the World Health Organisation (WHO), published in 2019, an estimated 7,00,000 people die
40 from drug resistant infections yearly, and this number is predicted to increase drastically by 2030
41 if nothing is done to solve this problem. In light of this, bacteriophages are becoming an
42 increasingly promising tool in the fight against bacteria.

43
44 Modern antibiotics function by targeting either processes unique to bacteria—such as cell wall or
45 folic acid synthesis—or bacterial-specific components within shared biological pathways like
46 protein and DNA replication. [4] When bacterial cells become immune to these drugs in doses
47 that should have been fatal, they are said to have become resistant. Similarly multidrug resistance
48 (MDR) can be defined as the insensitivity to fatal doses of multiple, structurally different, drugs
49 and chemicals in a cell.[5][6]

50
51
52 There are four principal mechanisms by which antimicrobial resistance occurs: reduced uptake of
53 the drug, alteration of its target, enzymatic inactivation, and active efflux from the cell.[7] As a
54 result of structural differences (namely the presence of lipopolysaccharides, amongst others),
55 Gram-negative bacteria employ all four major resistance mechanisms, while Gram-positive
56 bacteria are less likely to rely on reduced drug uptake as a strategy.[7] Resistance is not be
57 confused with persistence in bacterial cell populations.

58
59
60 Persistence is the phenomenon where bacterial cells are not impacted by the presence of an
61 antibiotic, or survive its presence, without the genes that code for resistance mechanisms to said
62 antibiotic.[7] What differentiates these cells from regular cells is the fact that they do not grow
63 and divide when exposed to antibiotics.[8] As a result, the mechanisms that are targeted by the
64 administered antibiotic are paused, rendering the antibiotic ineffective, even when the cell isn't
65 using any of the mechanisms that cause resistance. The phenotype for persistence arises through
66 a spontaneous and reversible transition from normal cells to persister cells.[9] These persister
67 cells only account for a very, very small fraction of bacterial populations, their exact number
68 tends to depend on the specific strain of bacteria.

69 70 **Mechanism of bacteriophages**

71
72 Like any other virus, phages rely on host cells (bacteria) to reproduce. Phages utilize either the
73 lytic cycle or the lysogenic cycle. They work by attaching themselves to the host cell (bacterium
74 cell in this case) by utilizing distinctive tail-like structures to attach to the surface of the host cell

75 and inject their genetic information from the virion head (the viral cell's DNA or RNA enclosed
76 in a protein coating) into the host cell.[10] The process post this differs. In the lytic cycle, once
77 the viral DNA enters the host bacterium cell, It exploits the host's cellular machinery to
78 synthesize virally encoded proteins necessary for replicating its genetic material. The
79 mechanisms present in the cell allow for the assembly of other bacteriophages within the host
80 cell.[11] Once enough phages have accumulated in the cell, it bursts, releasing the phages to
81 infect other bacterial cells.

82

83 In the lysogenic cycle, however, instead of immediately destroying the host, the phage genome
84 may integrate into the bacterial chromosome or persist as an episomal element, in either case
85 being replicated and inherited by daughter cells. Such integrated genomes are called prophages,
86 and the bacteria harboring them are known as lysogens. Prophages can later switch to the lytic
87 cycle, leading to the death of the host cell.[12]

88

89 There are 2 types of phages, virulent phages (phages that exclusively use the lytic cycle) and
90 temperate phages (employ both the lytic and the lysogenic cycle). As the lytic cycle is the one
91 that results in the immediate death of the bacterial cells, virulent phages are utilised for phage
92 therapy. Another issue associated with using temperate phages is that they typically induce
93 lysogenic transformation, resulting in changes to the bacterial phenotype as the viral DNA is
94 integrated into the cell. The expression of the combination of these genes can often lead to these
95 host cells (and their daughter cells) to display increased toxicity to humans.[13] Hence,
96 temperate phages aren't utilised for therapeutic applications in the form of phage therapy.

97

98 So far, we have discussed phage therapy in the context of planktonic bacterial cells: freely
99 floating, singular bacterial cells. However, a key mechanism of bacterial (or other pathogenic
100 microbial) cells in minimizing the impacts of antibiotics on their populations is the formation of
101 bacterial biofilms. Biofilms are communities of microorganisms enclosed within a self-produced
102 extracellular polymeric substances (EPSs).[14] These colonies of bacteria are sessile in nature,
103 i.e, they are attached to a biotic or abiotic surface.[15] Biofilms formed by pathogenic bacteria
104 can withstand antimicrobial agents at levels hundreds of times higher than planktonic cells,
105 which makes infections involving biofilms highly challenging to treat even with high doses of
106 antibiotics.[15]

107

108 Extracellular polymeric substances (EPS) are composed of diverse biomolecules, including
109 polysaccharides, proteins, lipids, nucleic acids such as extracellular DNA and RNA, along with
110 various other components.[15] Extracellular polymeric substances (EPSs) function as a
111 protective barrier, providing resilience against hostile conditions, including exposure to
112 antibiotics.[16] Bacteriophages act on these biofilms in a similar fashion as when they target
113 bacterial cells. The most important mechanism they employ against biofilms is the usage of
114 enzymes like depolymerases and lysins that act on and breakdown EPSs in which the bacterial

115 cells are encompassed.[15] The breakdown of EPSs causes the release of all the pathogenic
116 microbes (primarily bacteria) that were present in the biofilm. This allows the phages to then act
117 on and destroy the bacterial cells embedded within these biofilms, an aspect where antibiotics are
118 almost entirely ineffective.
119

120 **Case Example**

121 In August 2022, an 83-year-old man with acute respiratory failure, admitted at the Southern
122 University of Science and Technology Hospital, was diagnosed with an MDR *Pseudomonas*
123 *aeruginosa* (pneumonia) infection. Standard antibiotics such as meropenem, amikacin, and
124 colistin, naturally failed to suppress the infection. As a last resort, a phage cocktail was
125 administered. Within the next few weeks, the patient's inflammatory and oxygenation levels
126 gradually normalized, with significant clinical stabilization and no adverse effects. [17]

127 **Bacterial resistance to phages**

128 A challenge in the context of phage therapy is phage resistance in bacterial cells. Phage
129 resistance is by no means a recent phenomenon. Phage resistance has existed since the earliest
130 interactions between bacterial cells and bacteriophages. Phage resistance occurs through 2 main
131 mechanisms: receptor adaptations that reduce or prevent attachment, and host defense pathways
132 that block infection. [18]
133

134 **Receptor adaptations that reduce or prevent attachment**

135 As phages need to identify and attach to bacterial cells in order to infect, one of the mechanisms
136 of resistance against phages is blocking phage adsorption by limiting the availability of binding
137 receptors. Mutations in bacterial DNA can cause a change in or reduced expression, or
138 modification of the structure of these receptors. As a result, point mutations (a mutation where a
139 single nucleotide base is changed) in the bacterial genome represent one of the simplest
140 mechanisms by which bacteria can achieve complete resistance to phages.[18]
141

142 **Host defense pathways**

143 Bacteria have developed certain mechanisms against pathogens such as phages in response to
144 evolutionary pressure, referred to as host defense pathways. The defense mechanisms in bacteria
145 heavily depend on their ability to distinguish between their own genome and that of the phage.
146 Bacterial cells often employ the restriction-modification system (RM system) to label their own
147 genome via methylation (the addition of a methyl group to DNA molecules), consequently, the
148 unmarked DNA is destroyed.[19] A phenotype identified in phage resistant bacterial strains is
149 the phage growth limitation (Pgl) phenotype. Strains carrying this phenotype allow for a single

150 cycle of phage replication, but the resulting phages are unable to infect new host cells. [19] A
151 reverse RM phenomenon is observed here, where the phage DNA is marked via methylation, and
152 other bacteria in the colony activate the Pgl system in order to prevent phage growth. [19]
153

154 **Phage Countermeasures and Applications in Combination Therapy**

155
156 In response to these mechanisms, phages can alter their host specificity by mutating their
157 receptor-binding proteins (RBPs) in response to changes in bacterial surface receptors, with the
158 genes coding for these proteins experiencing the highest rate of mutations in the phage
159 genome.[18] Some phages have evolved to recognize and degrade bacterial capsules and
160 extracellular layers by binding to them and employing depolymerases.[18] Phages employ
161 several mechanisms to evade the RM systems of bacterial cells, these include: Mutation of
162 restriction sites (Phages can remove or alter restriction sites in their genome, preventing
163 recognition by restriction enzymes),
164 Modification of target sequences (Phages can chemically modify the DNA sequences recognized
165 by restriction enzymes, such as substituting cytosine with modified bases),
166 Altering site arrangement (By changing the distance or orientation of restriction sites, phages can
167 prevent enzymes that require specific spacing or orientation from cutting),
168 Occlusion by proteins (Phages can coat restriction sites with proteins that are delivered along
169 with the genome, blocking enzyme access), Sequestration of restriction enzymes (Some phage
170 proteins mimic DNA structure to bind and inhibit restriction enzymes), and Genome methylation
171 (Phages can acquire or stimulate methyltransferases to modify their DNA, rendering it resistant
172 to restriction enzymes) [18]
173

174 In bacteria, surface factors are commonly referred to as virulence factors or antibiotic resistance
175 mechanisms, since they facilitate host attachment and damage as well as antibiotic efflux. [20]
176 These surface factors are critical in the presence of disease phenotypes in bacterial cells. As these
177 surface factors are targeted by phages in order to identify bacterial strains, it is only natural for
178 mutations to occur in them. These mutations cause modifications in structures like antibiotic
179 efflux channels, and other defensive measures against antibiotics, potentially compromising
180 them. As a result, a trade off is created, wherein the bacterial strain can either be immune to
181 antibiotics, or to phages. It is to exploit this fundamental weakness in bacterial cells that
182 combination therapy (usage of both phages and antibiotics together) can be used to treat MDR
183 infections. Additionally, the potential for reduced virulence towards the host of bacterial cells
184 has also been observed as a result of the mutations in the bacterial strain targeted by certain
185 phages. [20] This approach is known as phage steering.

186 The mutations in bacterial cells to resist infections via phages are unpredictable and random. As
187 a result, it has also been observed that virulence in bacterial cells increases post phage exposure.
188 In order to mitigate this risk, amongst others things, scientists have turned to the genetic
189 engineering of bacteriophages as a solution.

190

191 **Genetic engineering of phages**

192 **Homologous recombination**

193 Among the most prevalent techniques when it comes to engineering phages is homologous
194 recombination, a technique in which foreign DNA is integrated into the phage genome in regions
195 on said genome where the foreign DNA and the phage DNA have matching sequences, within a
196 bacterial host cell.[21] Recombination of phage genomes, however, is highly inefficient, making
197 screening for phages that can turn into recombinant phages or are recombinant phages
198 necessary.[21] Additionally, the bacterial hosts that are vital to facilitate this process run the risk
199 of lysing before the desired result is reached. To overcome this limitation, yeast-based and in
200 vitro phage genome assembly approaches have been developed.

201 **Yeast based replication**

202 Owing to their efficient recombination machinery and tolerance to phage genes, *Saccharomyces*
203 *cerevisiae* (a species of yeast) can assemble synthetic phage genomes through transformation-
204 associated recombination (TAR) cloning, the process of inserting desirable fragments of phage
205 DNA into the host cell to be stitched together by the host cell's machinery to produce the target
206 genome, after which the completed genomes are introduced into a bacterial host via
207 electroporation in order to produce the recombinant phages. [21]

208 **In vitro replication**

209 In vitro phage replication, also known as phage rebooting, is the creation of a phage genome with
210 the desired sequences in the absence of a host cell. Phage rebooting involves amplifying the
211 phage genome in smaller PCR fragments, introducing desired modifications, and subsequently
212 reassembling these fragments into a complete genome.[22] The reconstructed genome is then
213 injected into a favourable bacterial cell, enabling the production of phages containing the
214 engineered sequence.

215 **Conclusion**

216 In conclusion, understanding the defense mechanisms of bacteria and corresponding phage
217 counter-strategies has been pivotal in the advancement of the therapeutic use of bacteriophages.
218 While mutations and new immune-like systems continue to enable bacteria to resist phage

219 infections; combination therapy and genetic engineering of phages represent promising ways to
220 overcome these barriers. Integration of synthetic biology tools, alternative host systems, and
221 rational design strategies now enables the precise manipulation of phage genomes with very low
222 safety risks but the highest efficacy possible. Together, these aspects further strengthen the
223 position of phage therapy as a dynamic and flexible approach against a wide range of multidrug-
224 resistant bacterial infections.
225

226 **Ethics Statement**

227 The authors have nothing to report.

228 **Conflict of interest/Competing interests**

229 The authors have no relevant financial or non-financial interests to disclose. The authors have no
230 conflicts of interest to declare that are relevant to the content of this article
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233 **Citations**

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