

Optimization of Electron Affinity in In_2S_3 Buffer Layers for CIGS Solar Cells: A SILVACO ATLAS Simulation Study.

Manuscript Info

Manuscript History

Received: xxxxxxxxxxxxxxxxx

Final Accepted: xxxxxxxxxxxxx

Published: xxxxxxxxxxxxxxxxx

Key words:-

CIGS solar cells; In_2S_3 buffer layer; SILVACO ATLAS simulation; Electron affinity; Band alignment; Conduction band offset; Interfacial recombination; Cd-free buffer layer.

Abstract

This study aims to optimize the electron affinity of the indium sulfide (In_2S_3) buffer layer in CIGS solar cells in order to provide a non-toxic alternative to conventional CdS. In_2S_3 exhibits several attractive properties, including a wide optical bandgap (2 – 2.9 eV), high optical transparency, and a tunable electron affinity that enables control of band alignment at the heterojunction interface.

A numerical investigation was carried out using the SILVACO ATLAS device simulator to analyze the influence of the buffer-layer electron affinity ($\chi = 4.0 - 4.8$ eV) on the main photovoltaic parameters (J_{SC} , V_{OC} , FF , and η), as well as on the parasitic resistances (R_s and R_{sh}). The simulations were performed under AM1.5G illumination by self-consistently solving Poisson's equation, the carrier continuity equations, and the drift-diffusion transport model.

The results indicate that the optimal configuration corresponds to $\chi = 4.0$ eV, yielding a maximum conversion efficiency of 22.96% with a high open-circuit voltage ($V_{OC} = 0.99$ V). This configuration corresponds to a conduction band offset of $\Delta E_c = +0.5$ eV, forming a moderate spike that suppresses interfacial recombination while maintaining efficient electron transport.

Increasing the electron affinity significantly improves some resistive parameters (an 83% reduction in R_s and a 14% increase in FF), but simultaneously causes a substantial decrease in the open-circuit voltage (-42%), resulting in an overall efficiency loss of approximately 27%.

These results highlight the critical role of band alignment in CIGS/ In_2S_3 solar cells and demonstrate that the open-circuit voltage is the dominant parameter governing device efficiency. The optimal electron affinity window appears to be very narrow ($\Delta\chi < 0.1$ eV), emphasizing the need for precise control of deposition conditions and material stoichiometry.

1

2 1. Introduction

3 Cu(In,Ga)Se₂ (CIGS) solar cells are among the most efficient thin-film photovoltaic technologies, with certified
4 laboratory efficiencies reaching 23.35% [1]. Their device architecture typically consists of a heterojunction formed
5 between a p-type CIGS absorber and an n-type buffer layer, most commonly cadmium sulfide (CdS). Although CdS
6 plays a crucial role in interface passivation and junction formation, its use raises environmental concerns due to
7 cadmium toxicity and also leads to optical losses in the short-wavelength region [2].

8 In this context, indium sulfide (In_2S_3) has emerged as a promising Cd-free alternative owing to its wide bandgap
9 (2.0–2.9 eV), high optical transparency, and good chemical stability [3]. Recent studies have shown that the
10 CIGS/ In_2S_3 interface can exhibit a favorable band alignment characterized by an appropriate conduction band offset
11 (CBO), which supports efficient carrier transport [4]. Among the parameters influencing this alignment, the electron

12 affinity (χ) plays a key role because it directly determines the relative position of the conduction bands at the
13 heterointerface. Depending on its value, the CBO may produce either a positive barrier (spike, $CBO > 0$) or a
14 negative offset (cliff, $CBO < 0$), which strongly affects interfacial recombination and device performance [5].

15 In this work, a numerical study based on the **SILVACO ATLAS** simulator is conducted to investigate the influence
16 of the electron affinity of the In_2S_3 buffer layer ($\chi = 4.0 - 4.8 eV$) on the main photovoltaic parameters (V_{OC} , J_{SC} ,
17 FF , η , R_s , and R_{sh}), with the aim of identifying the optimal band alignment configuration for CIGS/ In_2S_3 solar cells.

18 **2. Methodology**

19 The numerical analysis was carried out using the SILVACO ATLAS device simulator, a Technology Computer-
20 Aided Design (TCAD) platform commonly employed for the modeling of semiconductor devices. This tool enables
21 the investigation of the electrical behavior of photovoltaic structures by numerically solving the fundamental
22 transport equations governing charge carriers in semiconductors.

23 In the present study, the simulation framework relies on the self-consistent resolution of Poisson's equation, the
24 carrier continuity equations, and the drift-diffusion transport model, which together describe the electrostatic
25 potential distribution, carrier transport, and generation-recombination processes inside the device [6-8].

26 • **Electrostatic potential**

27 The electrostatic potential distribution inside the solar cell is determined by Poisson's equation, which relates the
28 spatial variation of the potential ψ to the local charge density within the semiconductor:

$$div(\epsilon \nabla \psi) = -\rho(1)$$

29 where ϵ represents the dielectric permittivity of the material and ρ denotes the space charge density.

30 When free carriers and ionized dopants are taken into account, the space charge density can be expressed as:

$$div(\nabla \psi) = \Delta \psi = -\frac{q}{\epsilon} [p - n + N_D^+ - N_A^-] \quad (2)$$

31 where n and p are the electron and hole concentrations, N_D^+ and N_A^- correspond to the densities of ionized donors
32 and acceptors, and q is the elementary charge.

33 • **Carrier conservation**

34 The transport of charge carriers is governed by the continuity equations, which describe the balance between carrier
35 flux, generation, and recombination processes. For electrons and holes, these relations can be written as:

$$\frac{\partial n}{\partial t} = \frac{1}{q} div \vec{J}_n + G_n - R_n(3)$$

$$\frac{\partial p}{\partial t} = -\frac{1}{q} div \vec{J}_p + G_p - R_p(4)$$

36 where \vec{J}_n and \vec{J}_p denote the electron and hole current densities, while G and R represent the carrier generation and
37 recombination rates, respectively.

38 • **Drift-diffusion transport model**

39 Carrier transport in the simulated device is described using the drift–diffusion formalism, which accounts for carrier
40 motion induced by both the electric field and carrier concentration gradients. The current densities for electrons and
41 holes are expressed as:

$$\vec{J}_n = qn\mu_n\vec{E} + qD_n\nabla n(5)$$

$$\vec{J}_p = qp\mu_p\vec{E} - qD_p\nabla p(6)$$

42 where μ_n and μ_p denote the carrier mobilities, D_n and D_p the diffusion coefficients, and $\vec{E} = -\nabla\psi$ is the electric
43 field derived from the electrostatic potential.

44 The diffusion coefficients are related to the carrier mobilities through the Einstein relation:

$$D_{n,p} = \frac{\mu_{n,p}k_B T}{q} (7)$$

45 where k_B is Boltzmann’s constant and T is the absolute temperature.

46 This set of coupled equations provides a comprehensive description of carrier transport and recombination processes
47 in semiconductor devices. Such a modeling framework is widely adopted in TCAD simulations of thin-film
48 photovoltaic devices, including CIGS solar cells, allowing reliable evaluation of the impact of material parameters
49 and interface properties on device performance [8].

50 2.1. Simulated Cell Structure

51 To investigate the influence of the electron affinity of the buffer layer, a typical CIGS thin-film solar cell
52 architecture was considered in the numerical simulations. The complete layer sequence of the device is illustrated in
53 Figure 1.

54 The simulated structure consists of the following stack:

55 SLG / Mo (500 nm) / p-CIGS (2 μm) / n-In₂S₃ (50 nm) / i-ZnO (100 nm) / ZnO:Al (300 nm) / metallic front grid.

56 This configuration corresponds to the standard architecture widely used in high-efficiency CIGS solar cells. It
57 allows the electrical and optical effects associated with the In₂S₃ buffer layer to be analyzed while maintaining
58 realistic device conditions.

59 Figure 1 therefore presents the schematic structure of the simulated CIGS/In₂S₃ solar cell.

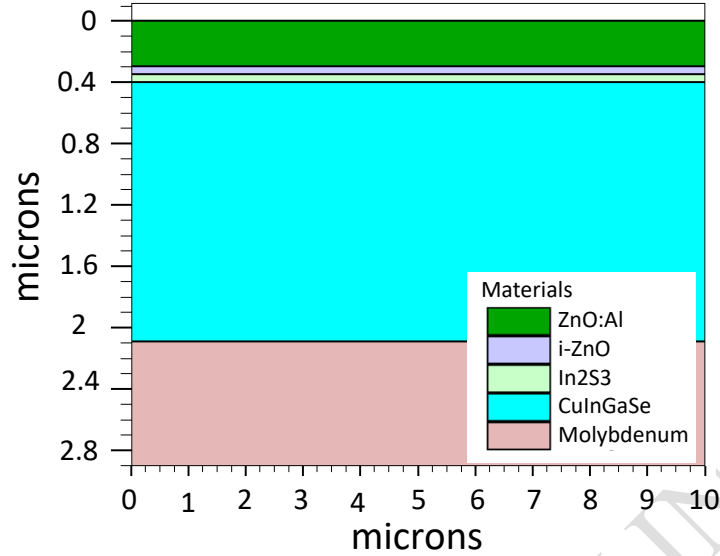


Figure 1: Schematic structure of the CIGS/In₂S₃ solar cell.

As shown in Figure 1, the molybdenum layer provides the back electrical contact, while the p-type CIGS layer acts as the main light-absorbing region. The n-type In₂S₃ layer forms the heterojunction and controls the band alignment at the absorber interface. The intrinsic ZnO layer limits leakage currents, whereas the ZnO:Al layer acts as a transparent conductive oxide ensuring efficient carrier collection at the front contact. With this architecture, any variation in the electrical response of the device can be directly related to changes in the electron affinity of the In₂S₃ buffer layer.

2.2. Band Diagram and Conduction Band Offset (CBO)

The electronic band alignment at the CIGS/In₂S₃ interface plays a crucial role in determining the transport and recombination mechanisms within the device. The energy band configuration associated with this heterojunction is illustrated in Figure 2.

At thermal equilibrium, the band alignment between the two materials is characterized by the conduction band offset (CBO) defined as

$$\Delta E_C = E_{C_{In_2S_3}} - E_{C_{CIGS}} \quad (8)$$

Using the definition of electron affinity

$$\chi = E_{vac} - E_C \quad (9)$$

With E_{vac} : niveau de référence énergétique dans le vide.

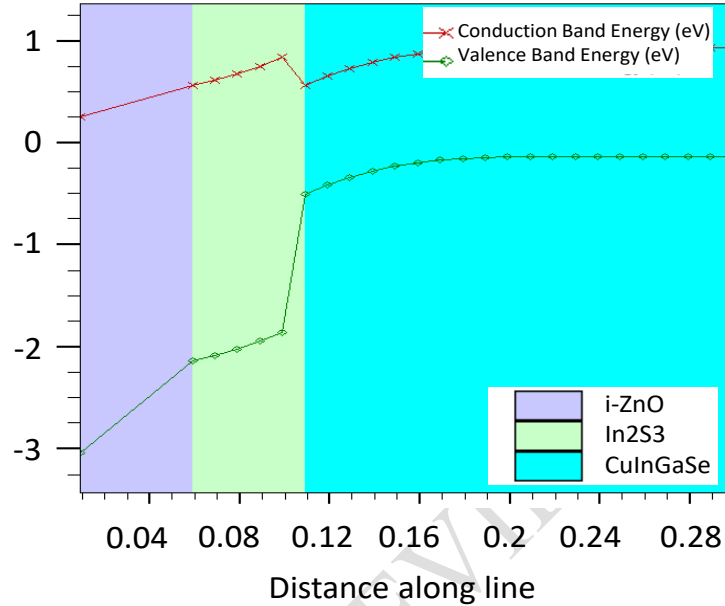
The CBO can be expressed as

$$\Delta E_C = \chi_{CIGS} - \chi_{In_2S_3} \quad (10)$$

For a typical electron affinity of CIGS, $\chi_{CIGS} = 4.5$:

- 80 • If $\chi_{In_2S_3} = 4.0 \text{ eV} \rightarrow \Delta E_C = +0.5 \text{ eV}$ (spike configuration),
- 81 • If $\chi_{In_2S_3} = 4.8 \text{ eV} \rightarrow \Delta E_C = -0.3 \text{ eV}$ (cliff configuration).

82 Optimizing the value of χ is therefore essential in order to achieve a favorable band alignment that limits interfacial
 83 recombination while maintaining efficient electron extraction [9,10].



84

85 Figure 2: Energy band diagram of the In2S3/CIGS heterojunction at equilibrium.

86 As illustrated in Figure 2, the conduction band (red curve) and valence band (green curve) correspond to the case
 87 $\chi_{CIGS} = 4.5 \text{ eV}$ and $\chi_{In_2S_3} = 4.2 \text{ eV}$, resulting in a moderate positive offset of approximately $\Delta E_C \approx +0.3 \text{ eV}$. Such
 88 a configuration is generally considered optimal for CIGS heterojunctions since a moderate spike can reduce
 89 interfacial recombination by limiting electron backflow toward the absorber while preserving efficient carrier
 90 transport. In contrast, a cliff configuration tends to enhance interface recombination and may significantly reduce
 91 V_{OC} , whereas an excessively large spike can impede electron extraction and reduce J_{SC} [9,10].

92 2.3. Simulation Parameters

93 The numerical simulations rely on a consistent set of material and device parameters describing the different layers
 94 of the solar cell. These parameters include the fundamental electronic properties (bandgap energy, electron affinity,
 95 dielectric permittivity), geometrical characteristics (layer thickness), and carrier transport parameters such as
 96 mobilities, carrier lifetimes, and effective density of states.

97 All the physical parameters used in the simulations are summarized in Table 1.

98 The numerical simulations conducted in this study are based on a consistent set of physical and electronic
 99 parameters accurately describing each layer of the CIGS solar cell. These parameters include fundamental material
 100 properties (bandgap energy, electron affinity, dielectric permittivity), geometrical characteristics (layer thickness), as
 101 well as carrier transport and recombination quantities (mobilities, carrier lifetimes, effective density of states, and
 102 interface trap density).

103 Table 1: Physical parameters used in the numerical simulations.

Parameters	ZnO:Al	i-ZnO	In ₂ S ₃	CIGS
Optical bandgap E_g (eV)	3.3	3.3	2.7	1.2
Electron affinity χ (eV)	4.45	4.45	4.2	4.5
Relative dielectric permittivity ϵ_r	9	9	13.5	13.6
Thickness (μm)	0,3	0,1	0,05	2
Effective density of states N_C (cm^{-3})	2.2×10^{18}	2.2×10^{18}	2×10^{19}	2.2×10^{18}
Effective density of states N_V (cm^{-3})	1.8×10^{19}	1.8×10^{19}	2×10^{17}	1.8×10^{19}
Donor concentration N_D (cm^{-3})	10^{20}	10^{15}	10^{17}	–
Acceptor concentration N_A (cm^{-3})	–	–	–	5×10^{16}
Electron mobility μ_n ($\text{cm}^2 \cdot \text{V}^{-1} \cdot \text{S}^{-1}$)	100	100	50	100
Hole mobility μ_p ($\text{cm}^2 \cdot \text{V}^{-1} \cdot \text{S}^{-1}$)	25	25	15	25
Electron lifetime τ_n (S)	10^{-10}	10^{-10}	10^{-9}	10^{-7}
Hole lifetime τ_p (S)	10^{-10}	10^{-10}	10^{-9}	10^{-7}
Interface defect density D_{it} ($\text{cm}^{-2} \text{ev}^{-1}$)	–	–	8×10^{11}	–

105

106 These values were selected from well-established literature sources and correspond to typical parameters reported
 107 for high-performance CIGS solar cells, while ensuring stable numerical convergence within the ATLAS simulation
 108 framework [3,9,11].

109 The interface trap density D_{it} was chosen within realistic ranges to represent partially passivated heterointerfaces, in
 110 agreement with experimental observations reported for high-quality CIGS devices [12,13].

111 In addition, the optical constants of ZnO:Al, i-ZnO and In₂S₃, defined by the complex refractive index $\tilde{n} = n(\lambda) +$
 112 $ik(\lambda)$, were taken from published optical data in order to accurately model photon absorption and carrier generation
 113 within the device [14–16].

114 2.4. Extracted Photovoltaic Parameters

115 The electrical behavior of the simulated devices was evaluated from the current–voltage (J–V) characteristics,
 116 calculated under standard AM1.5G illumination ($100 \text{ mW} \cdot \text{cm}^{-2}$) at a temperature of 300 K.

117 From these curves, the main photovoltaic parameters were extracted.

- 118 • **Short-Circuit Current Density (J_{SC})**

119 The short-circuit current density corresponds to the generated current when the applied voltage is zero. It can be
 120 expressed as

$$J_{SC} = q \int_0^{\lambda_g} I_0(\lambda) \frac{hc}{\lambda} EQE(\lambda) d\lambda \quad (11)$$

121 where $I_0(\lambda)$ is the incident spectral irradiance, h is Planck's constant, c the speed of light in vacuum, $EQE(\lambda)$ the
 122 external quantum efficiency, and q the elementary charge.

- 123 • **Open-Circuit Voltage (V_{OC}):**

124 The open-circuit voltage corresponds to the voltage at which the output current becomes zero:

$$V_{OC} = \frac{nkT}{q} \cdot \ln\left(\frac{J_{SC}}{J_0} + 1\right) \quad (12)$$

125 where n is the diode ideality factor, k Boltzmann's constant, T the absolute temperature, and J_0 the saturation
126 current density.

127 • **Fill Factor (FF)**

128 The fill factor evaluates the quality of the electrical response of the solar cell and is defined by

$$FF = \frac{J_m \times V_m}{J_{SC} \times V_{OC}} \quad (13)$$

129 where J_m and V_m correspond to the current and voltage at the maximum power point.

130 • **Conversion Efficiency (η)**

131 The power conversion efficiency is determined from

$$\eta = \frac{P_m}{P_{in}} = FF \cdot \frac{J_{SC} \times V_{OC}}{P_{in}} \quad (14)$$

132 where P_m is the maximum output power and P_{in} the incident optical power density [17].

133 • **Series and Shunt Resistances**

134 The parasitic resistances R_s and R_{sh} were extracted from the differential resistance

$$R_{diff} = \left(\frac{dI}{dV}\right)^{-1} \quad (15)$$

135 evaluated near $V \approx V_{OC}$ for R_s , and near $V \approx 0$ for R_{sh} [18].

136 This method provides a realistic estimation of resistive losses by accounting simultaneously for transport,
137 recombination, and ohmic effects within the device.

138 **2.5. Simulation Campaign**

139 The simulation campaign was designed to investigate the influence of the electron affinity of the In_2S_3 buffer layer
140 on the performance of CIGS solar cells.

141 The electron affinity χ was varied from 4.0 to 4.8 eV with a step of 0.1 eV, corresponding to nine distinct
142 configurations. This range allows the exploration of different regimes of conduction band offset (CBO) that control
143 both the band alignment at the CIGS/ In_2S_3 interface and the balance between carrier transport and interfacial
144 recombination mechanisms.

145 All other material parameters, including doping levels, bandgap energies, carrier mobilities, and interface properties,
146 were kept constant throughout the simulations in order to isolate the specific impact of electron affinity on the
147 photovoltaic response of the device.

148 **3. Results and Discussion**

149 **3.1. Overview of the Results**

150 The influence of the electron affinity χ of the In_2S_3 buffer layer on the photovoltaic behavior of the simulated device
151 is summarized in Table 3, which reports the main electrical parameters extracted from the J–V characteristics.

152 Table 2: Photovoltaic parameters as a function of the electron affinity of In₂S₃ buffer layer.

Electron Affinity χ (eV)	Jsc (mA/cm ²)	Voc (V)	FF (%)	η (%)	Rs (Ω .cm ²)	Rsh (Ω .cm ²)
4.0	32.39	0.988	71.8	23.0	6.08	2446
4.1	32.55	0.840	78.1	21.3	1.66	823
4.2	35.07	0.702	72.8	17.9	1.51	179
4.3	36.08	0.588	78.2	16.6	1.20	3776
4.4	36.11	0.571	81.8	16.9	1.04	17112
4.5	36.11	0.571	82.0	16.9	1.03	15872
4.6	36.10	0.571	82.0	16.9	1.03	17689
4.7	36.10	0.571	82.0	16.9	1.03	25920
4.8	36.10	0.572	81.7	16.9	1.07	38439

153

154 A clear dependence of the device performance on the value of χ can be observed. In contrast to doping optimization,
 155 where carrier transport parameters dominate, the variations reported here are primarily governed by modifications in
 156 the band alignment at the CIGS/In₂S₃ heterointerface, which directly affects the conduction band offset (CBO).

157 As the electron affinity increases from 4.0 to 4.8 eV, several systematic trends emerge:

- 158 • a pronounced decrease in the open-circuit voltage V_{OC} ,
- 159 • a moderate increase in the short-circuit current density J_{SC} ,
- 160 • a significant reduction in the series resistance R_s ,
- 161 • and a strong increase in the shunt resistance R_{sh} at higher χ values.

162 These trends indicate that the electron affinity plays a key role in determining the electronic behavior of the
 163 heterojunction. By modifying the relative position of the conduction bands, χ directly controls the interfacial
 164 potential barrier, which in turn governs carrier transport and recombination mechanisms. The results therefore
 165 demonstrate that the electron affinity of the buffer layer acts as a critical design parameter in the optimization of
 166 CIGS/In₂S₃ heterojunction solar cells.

167 3.2. Impact on the Power-Voltage (P-V) Characteristic

168 The influence of the electron affinity on the output power characteristics of the device is illustrated in Figure 3,
 169 which presents the P–V curves for six representative χ values of the In₂S₃ buffer layer.

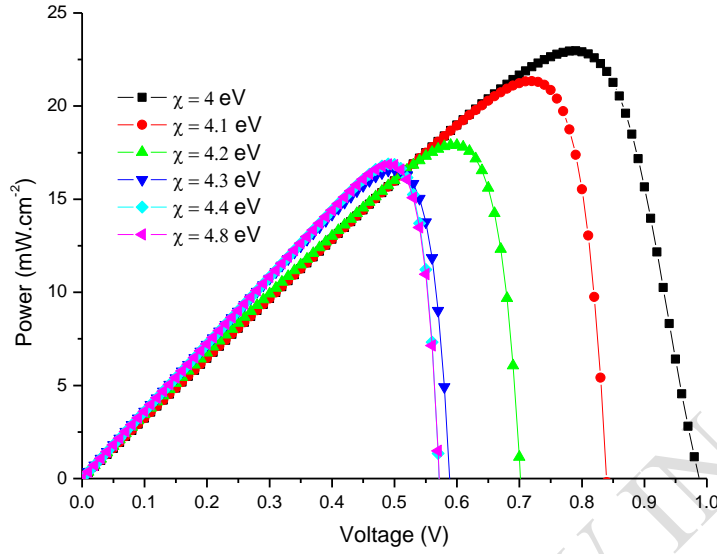


Figure 3: P–V characteristic for different values of electron affinity.

170

171

172 The evolution of the maximum power clearly reveals the strong sensitivity of device performance to the band
 173 alignment at the CIGS/In₂S₃ interface. This behavior is primarily governed by the conduction band offset (CBO), a
 174 parameter known to strongly influence interfacial recombination and therefore the open-circuit voltage V_{OC} [19].

175 For $\chi = 4.0 \text{ eV}$, the device reaches its highest output power, with a maximum power density of approximately
 176 $23 \text{ mW} \cdot \text{cm}^{-2}$ obtained at a voltage V_m close to 0.79 V . This operating point corresponds to a very high open-circuit
 177 voltage ($V_{OC} \approx 0.99 \text{ V}$), indicating a low saturation current and limited recombination losses. Such behavior is
 178 consistent with a moderate positive conduction band offset (spike configuration), which effectively suppresses
 179 electron backflow toward the interface while maintaining efficient carrier extraction [20,21]. The slightly reduced
 180 fill factor ($FF \approx 71.8\%$) suggests the presence of ohmic losses, in agreement with the relatively high series
 181 resistance ($R_s \approx 6.08 \Omega \cdot \text{cm}^2$) extracted from the J–V characteristics [22].

182 When the electron affinity increases to $\chi = 4.1 \text{ eV}$, the maximum power decreases to approximately $21 \text{ mW} \cdot$
 183 cm^{-2} , accompanied by a shift of the maximum power point toward lower voltages ($V_m \approx 0.71 \text{ V}$). This behavior
 184 indicates that even small variations in electron affinity significantly modify the band alignment and the associated
 185 recombination dynamics at the heterointerface [19,22].

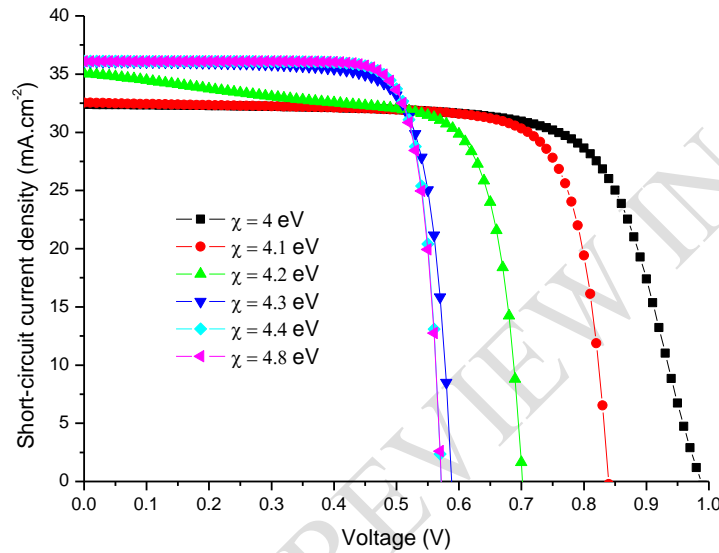
186 A further increase to $\chi = 4.2 \text{ eV}$ results in a more pronounced reduction of the maximum power ($P_{max} \approx 18 \text{ mW} \cdot$
 187 cm^{-2}), suggesting that recombination mechanisms or unfavorable interface barriers begin to dominate the device
 188 behavior [20,22].

189 For $\chi \geq 4.3 \text{ eV}$, the P–V curves exhibit lower power maxima (approximately $16 - 17 \text{ mW} \cdot \text{cm}^{-2}$) but become
 190 more rectangular, reflecting a significant increase in the fill factor ($> 82\%$). This improvement is associated with
 191 the combined effect of a reduced series resistance and a large shunt resistance. However, these favorable resistive
 192 conditions cannot compensate for the strong reduction of the open-circuit voltage ($V_{OC} \approx 0.57 \text{ V}$), which is typically
 193 linked to the transition toward a cliff-type band alignment (negative CBO). In this regime, the absence of a selective
 194 barrier enhances interfacial recombination and increases the saturation current density [21–23].

195 Overall, the results indicate that the efficiency maximum obtained at $\chi = 4.0 \text{ eV}$ results from a delicate balance
196 between carrier transport and recombination. In this device configuration, maintaining a high open-circuit voltage
197 through favorable band alignment proves more critical than minimizing resistive losses alone.

198 3.3. Impact on the Current-Voltage (J-V) Characteristic

199 The influence of the electron affinity of the In_2S_3 buffer layer on the electrical behavior of the device is illustrated in
200 Figure 4, which presents the simulated J-V characteristics under AM1.5G illumination for different χ values.



201

202

Figure 4: J-V characteristic for different values of electron affinity.

203 The curves reveal two opposite trends as χ increases: a moderate increase in the short-circuit current density J_{sc} ,
204 accompanied by a pronounced reduction in the open-circuit voltage V_{oc} . Such behavior is typical of heterojunction
205 devices where modifications of the conduction band offset (CBO) improve carrier extraction while simultaneously
206 weakening the selectivity of the interface, thereby enhancing recombination and increasing the saturation current
207 [20,21].

208 For $\chi = 4.0 \text{ eV}$, the simulated device exhibits a relatively moderate current density ($J_{sc} \approx 32.4 \text{ mA}\cdot\text{cm}^{-2}$) but a
209 very high open-circuit voltage ($V_{oc} \approx 0.99 \text{ V}$). This behavior can be attributed to the presence of a positive CBO
210 (spike configuration) at the CIGS/ In_2S_3 interface. Such a spike acts as an electron-selective barrier that limits
211 electron back-diffusion toward the interface and therefore reduces recombination with holes in the absorber layer
212 [21,23]. However, this barrier can also slightly impede electron extraction, which explains the somewhat lower J_{sc}
213 compared with cases where the interface becomes more transparent to carrier transport [20,22].

214 When the electron affinity increases beyond $\chi \geq 4.4 \text{ eV}$, the current density reaches its maximum value ($J_{sc} \approx$
215 $36.1 \text{ mA}\cdot\text{cm}^{-2}$), corresponding to an increase of approximately 11.4%. This improvement is consistent with
216 enhanced carrier collection resulting from reduced series resistance and a band alignment progressively approaching
217 a cliff configuration, which facilitates electron transport across the interface.

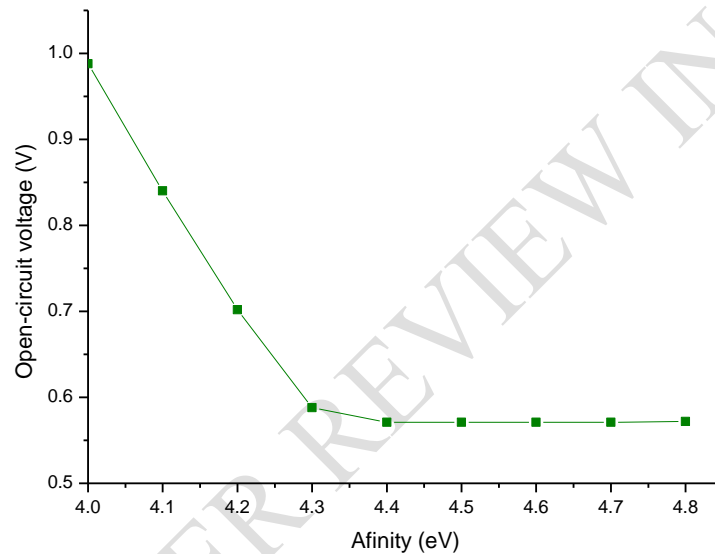
218 However, this favorable effect on current is accompanied by a dramatic reduction of the open-circuit voltage
219 ($V_{oc} \approx 0.57 \text{ V}$). In this regime, the absence of a selective barrier allows electrons to accumulate near the
220 heterointerface, where they recombine efficiently with the majority holes present in the CIGS absorber. This process
221 leads to a strong increase in the saturation current density J_0 , which severely limits V_{oc} [21–23].

222 The transition occurring around $\chi \approx 4.2 \text{ eV}$ therefore marks a change of operating regime in which interfacial
223 recombination progressively dominates the device behavior, even though the resistive parameters may appear
224 favorable [20,22].

225 Overall, these results confirm that the electron affinity χ , through its influence on the CBO, governs the delicate
226 balance between carrier collection and recombination at the CIGS/In₂S₃ interface. The efficiency maximum obtained
227 for $\chi = 4.0 \text{ eV}$ reflects this compromise: although the spike slightly limits electron extraction, it effectively
228 suppresses interfacial recombination and therefore preserves a high open-circuit voltage.

229 3.4. Influence on the Open-Circuit Voltage V_{OC}

230 The dependence of the open-circuit voltage on the electron affinity χ is presented in Figure 5.



231

232 Figure 5: Evolution of the open-circuit voltage as a function of the electron affinity of the In₂S₃ buffer layer

233 The results show a strong sensitivity of V_{OC} to variations in χ , highlighting the central role of band alignment at the
234 CIGS/In₂S₃ interface in controlling recombination processes [24,25].

235 In the first regime, corresponding to χ values between 4.0 and 4.3 eV, the open-circuit voltage decreases rapidly
236 from 0.988 V to 0.588 V. Such a large variation over a relatively narrow χ range illustrates the strong influence of
237 the conduction band offset on the recombination dynamics at the heterojunction, a phenomenon widely reported for
238 CIGS-based solar cells [26,27].

239 According to the fundamental relationship linking V_{OC} to the saturation current density (see Equation 12), an
240 increase in J_0 inevitably results in a decrease in V_{OC} . The connection between recombination, saturation current, and
241 voltage has been rigorously established through the reciprocity relations and the radiative efficiency limits of
242 photovoltaic devices developed by Rau [22,28]. Consequently, any modification of band alignment that enhances
243 interfacial recombination leads to an exponential increase in J_0 and a corresponding reduction in V_{OC} .

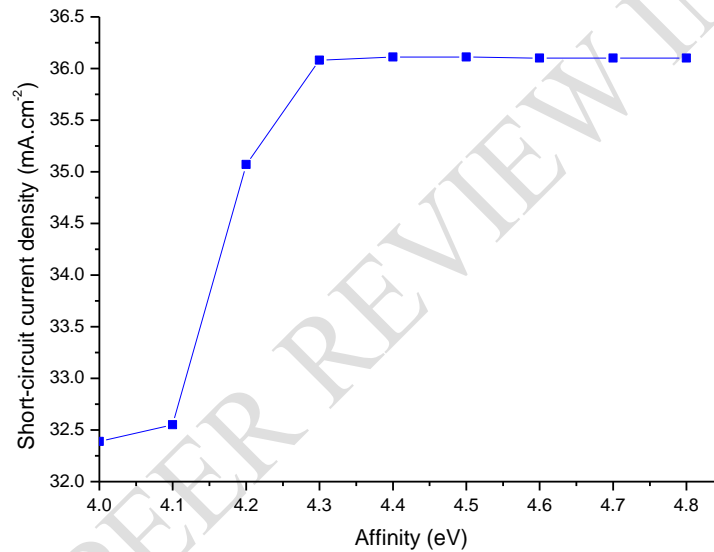
244 For $\chi = 4.0 \text{ eV}$, the positive conduction band offset ($\Delta E_C = +0.5 \text{ eV}$) creates a spike that acts as a selective barrier
245 at the heterointerface. This barrier reduces the probability of electron back-diffusion toward the interface and
246 therefore limits electron-hole recombination [25,26]. The resulting decrease in J_0 allows the device to achieve a
247 high open-circuit voltage approaching the theoretical radiative limit [28].

248 As χ increases, the CBO progressively decreases and eventually becomes negative, corresponding to a cliff
249 configuration. In this regime, electrons can more easily reach the heterointerface where holes are abundant in the p-
250 type absorber, thereby enhancing interfacial recombination processes [24,26,27]. The associated increase in J_0 leads
251 to the observed collapse of V_{OC} , in agreement with the theoretical relationship between recombination and open-
252 circuit voltage [22,28].

253 These results clearly demonstrate that the electron affinity indirectly controls the open-circuit voltage through its
254 effect on the conduction band offset and the resulting recombination dynamics. Consequently, V_{OC} emerges as the
255 photovoltaic parameter most sensitive to band alignment in CIGS/In₂S₃ heterojunction solar cells [25,26].

256 3.5. Influence on the Short-Circuit Current Density J_{SC}

257 The variation of the short-circuit current density with the electron affinity of the In₂S₃ buffer layer is illustrated in
258 Figure 6.



259

260 Figure 6: Short-circuit current density profile as a function of electron affinity.

261 Unlike the open-circuit voltage, which is strongly controlled by recombination mechanisms, the short-circuit current
262 density is primarily determined by carrier collection efficiency and transport conditions within the device [24–29].

263 As the electron affinity increases from $\chi = 4.0$ to 4.3 eV , J_{SC} rises significantly from 32.4 to $36.1 \text{ mA} \cdot \text{cm}^{-2}$,
264 corresponding to an increase of approximately 11.4%. This improvement can be mainly attributed to the strong
265 reduction in the series resistance, which decreases from 6.08 to $1.20 \Omega \cdot \text{cm}^2$, thereby facilitating carrier transport
266 and reducing resistive losses.

267 Beyond $\chi = 4.3 \text{ eV}$, the current density reaches a plateau around $36.1 \text{ mA} \cdot \text{cm}^{-2}$, indicating that the beneficial
268 effect associated with the reduction of R_s has reached its limit.

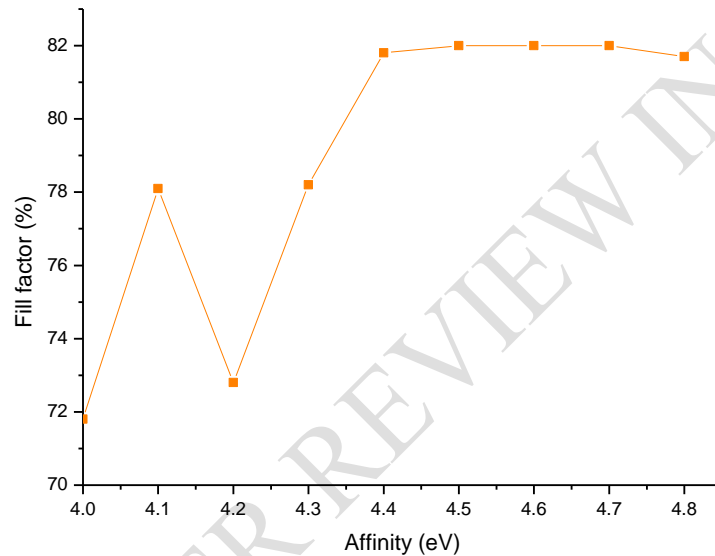
269 At $\chi = 4.0 \text{ eV}$, the presence of a positive conduction band offset ($\Delta E_C = +0.5 \text{ eV}$) forms a spike barrier at the
270 heterointerface. Although this barrier slightly limits electron injection across the interface, it does not significantly
271 impede carrier collection under short-circuit conditions because the internal electric field assists the extraction of
272 photogenerated carriers [25,26].

273 When χ increases and the conduction band offset approaches zero or becomes negative, the interface becomes more
274 transparent to electron transport, which explains the observed increase in J_{SC} [25,29]. However, this improved
275 carrier injection simultaneously enhances interfacial recombination, which increases the saturation current J_0 and
276 consequently reduces V_{OC} , in accordance with the reciprocity relations established by Rau [28].

277 As a result, the moderate gain in J_{SC} cannot compensate for the much larger loss in V_{OC} , explaining the overall
278 decrease in efficiency observed at higher χ values.

279 3.6. Influence on the Fill Factor (FF)

280 The evolution of the fill factor as a function of electron affinity is presented in Figure 7.



281

282 Figure 7: Fill factor profile as a function of electron affinity

283 The fill factor is mainly influenced by parasitic resistances and recombination mechanisms, which determine the
284 shape and rectangularity of the J–V curves [24,27,29].

285 For $\chi = 4.0 \text{ eV}$, the fill factor reaches 71.8%, reflecting the influence of the relatively high series resistance
286 ($6.08 \Omega \cdot \text{cm}^2$) that limits the electrical quality of the J–V characteristic.

287 A moderate increase in electron affinity to $\chi = 4.1 \text{ eV}$ results in a significant improvement of the fill factor to
288 78.1%, mainly due to the strong reduction of the series resistance ($1.66 \Omega \cdot \text{cm}^2$).

289 However, at $\chi = 4.2 \text{ eV}$, the fill factor temporarily decreases to 72.8%, despite a relatively low R_s value ($1.51 \Omega \cdot$
290 cm^2). This behavior can be explained by the simultaneous degradation of the shunt resistance, which drops to
291 $179 \Omega \cdot \text{cm}^2$, indicating increased leakage currents that distort the J–V curve. In addition, the extracted ideality
292 factor ($n \approx 1.285$) suggests the presence of moderate Shockley–Read–Hall (SRH) recombination, which may
293 temporarily affect the junction quality.

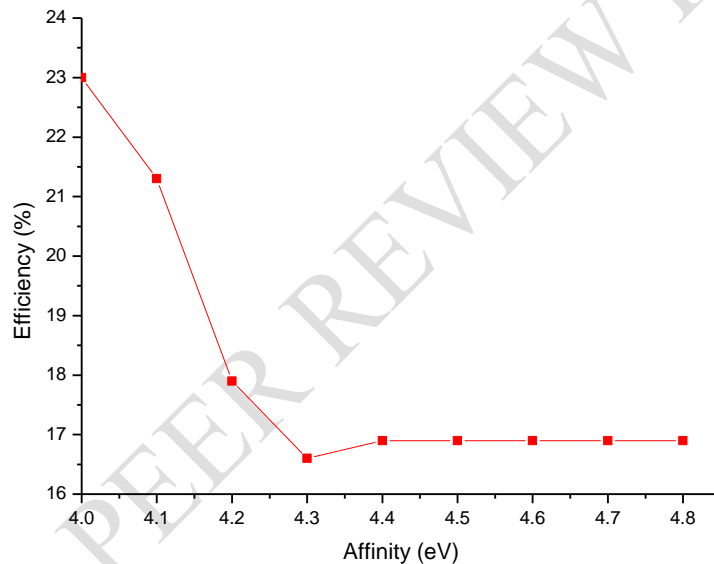
294 For $\chi \geq 4.4 \text{ eV}$, the fill factor increases again and eventually reaches a plateau between 81.8% and 82.0%. This
295 improvement results from the combination of a minimal series resistance ($\approx 1.03 \Omega \cdot \text{cm}^2$) and a very large shunt
296 resistance ($17 - 38 \text{ k}\Omega \cdot \text{cm}^2$), which significantly reduces both resistive losses and leakage currents.

297 Despite this favorable resistive behavior, the overall device performance remains limited because the dominant
298 recombination mechanisms at the heterointerface increase the saturation current J_0 and reduce V_{OC} [25,28].

299 Although fill factors above 82% indicate a very good junction quality from a purely resistive perspective, this
300 improvement does not compensate for the large loss in open-circuit voltage. This result illustrates that in
301 photovoltaic optimization not all parameters contribute equally to efficiency: preserving a high V_{OC} is generally
302 more critical than maximizing the fill factor when both objectives are mutually constrained. In CIGS solar cells, the
303 overall efficiency is therefore primarily limited by recombination processes that determine J_0 and V_{OC} , rather than by
304 resistive effects alone [23,30].

305 3.7. Influence on the Power Conversion Efficiency η

306 The combined effect of the variations in J_{SC} , V_{OC} , and FF on the overall device performance is illustrated in Figure
307 8, which shows the evolution of the power conversion efficiency as a function of the electron affinity of the In_2S_3
308 buffer layer.



309

310 Figure 8: Efficiency profile as a function of electron affinity.

311 The efficiency curve clearly identifies an optimal electron affinity corresponding to the best compromise between
312 carrier collection and recombination control, confirming the key role of the conduction band offset (CBO) in
313 determining the performance of CIGS heterojunction solar cells [25,26].

314 The conversion efficiency reaches its maximum value of 22.96% for $\chi = 4.0 \text{ eV}$, before rapidly decreasing to
315 16.6% at $\chi = 4.3 \text{ eV}$, and then stabilizing around 16.9% for $\chi \geq 4.4 \text{ eV}$. This three-regime behavior reflects the
316 fundamental trade-off imposed by band alignment at the CIGS/ In_2S_3 interface, which simultaneously governs
317 recombination processes and open-circuit voltage through the saturation current J_0 according to the Shockley
318 relation [22,28].

319 At $\chi = 4.0 \text{ eV}$, the positive conduction band offset ($\Delta E_C = +0.5 \text{ eV}$) creates a moderate spike that effectively
320 suppresses interfacial recombination [26]. This configuration allows the device to maintain a very high open-circuit
321 voltage (0.988 V), even though carrier extraction is slightly limited ($J_{SC} = 32.4 \text{ mA} \cdot \text{cm}^{-2}$) and the series resistance
322 remains relatively high. Because efficiency depends strongly on V_{OC} , the preservation of a high voltage enables the

323 device to reach its maximum efficiency, consistent with analyses linking V_{OC} , J_0 , and radiative efficiency limits
324 [22,28].

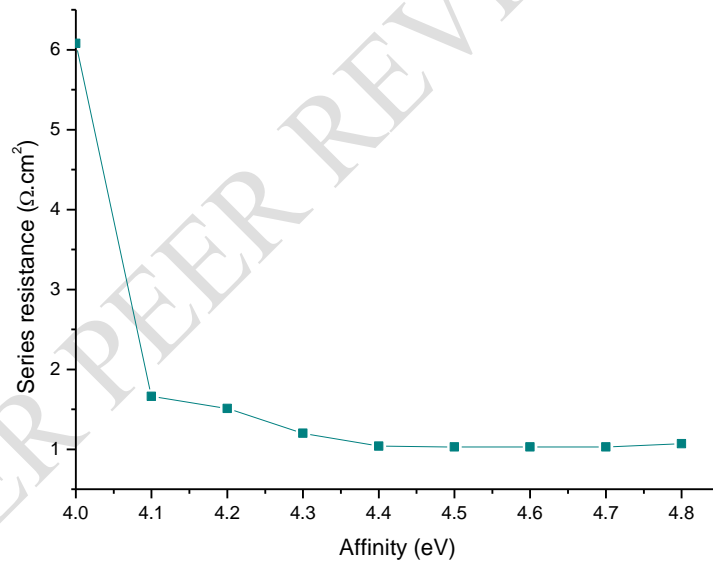
325 When χ increases from 4.0 to 4.3 eV, the conduction band offset progressively decreases, weakening the selective
326 barrier at the interface [26,29]. Although the resulting improvement in carrier transport increases J_{SC} and reduces the
327 series resistance, the simultaneous degradation of V_{OC} (approximately -40%) dominates the overall device
328 behavior. Consequently, the gain in current cannot compensate for the loss in voltage, illustrating that transport
329 optimization alone cannot offset the degradation of interfacial selectivity [24,25].

330 For higher electron affinity values, the cliff configuration enhances electron injection but simultaneously intensifies
331 interfacial recombination, which increases the saturation current J_0 and severely limits the open-circuit voltage [25-
332 27]. As a result, the efficiency stabilizes at a lower level.

333 The narrow optimal window identified in this study ($\Delta\chi < 0.1$ eV for $\eta > 22\%$) highlights the importance of
334 precise control over the electron affinity of the buffer layer, in agreement with previous studies emphasizing the
335 critical role of CBO engineering in CIGS heterojunction solar cells [26–30].

336 3.8. Influence on the Series Resistance R_s

337 The variation of the series resistance as a function of the electron affinity of the In_2S_3 buffer layer is illustrated in
338 Figure 9.



339
340 Figure 9: Series resistance as a function of electron affinity.

341 The results show a significant reduction in the series resistance as χ increases. This improvement represents one of
342 the secondary beneficial effects associated with the modification of the band alignment at the CIGS/ In_2S_3 interface
343 [25,29].

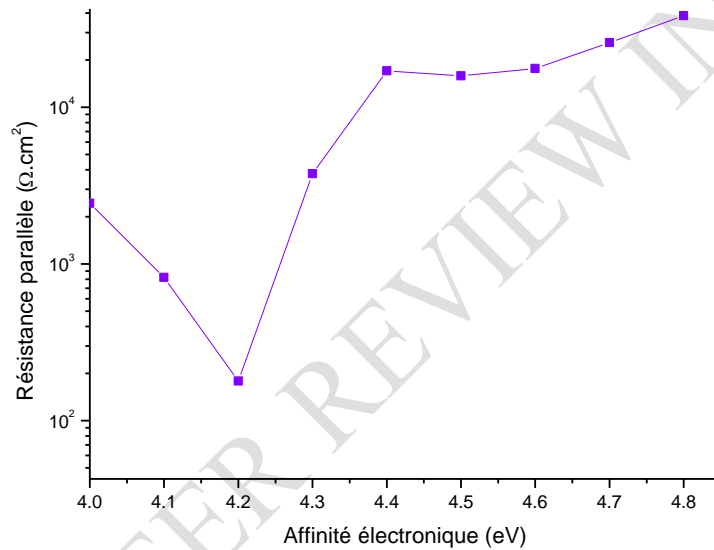
344 For $\chi = 4.0$ eV, the series resistance reaches $6.08 \Omega \cdot \text{cm}^2$, which strongly limits the electrical quality of the J–V
345 curve and contributes to the relatively low fill factor observed in this configuration. When χ increases, R_s decreases
346 rapidly, reaching values close to $1.03 \Omega \cdot \text{cm}^2$ for $\chi \geq 4.5$ eV. This evolution is consistent with improved carrier
347 transport across the heterojunction and enhanced contact properties resulting from modifications of the band
348 diagram [25,29].

349 Overall, the simulations indicate that increasing χ leads to an 83% reduction in series resistance. Nevertheless, this
350 improvement in carrier transport does not translate into better overall device performance. Despite the significant
351 reduction in R_s , the conversion efficiency decreases as χ increases.

352 This behavior confirms that, in CIGS heterojunction solar cells, the dominant performance limitation is not related
353 to resistive transport but rather to interfacial recombination mechanisms, which determine the saturation current J_0
354 and therefore the open-circuit voltage V_{OC} . According to the fundamental relations linking V_{OC} and J_0 , any increase
355 in recombination strongly reduces the achievable voltage, regardless of improvements in transport properties
356 [22,28].

357 3.9. Influence on the Shunt Resistance R_{sh}

358 The evolution of the shunt resistance with electron affinity is presented in Figure 10.



359

360

Figure 10: Shunt resistance as a function of electron affinity.

361 The shunt resistance generally increases with increasing χ , although noticeable fluctuations appear in the transition
362 region around $\chi = 4.1 - 4.2$ eV. These variations are consistent with transient changes in interfacial defect states
363 and recombination mechanisms occurring near the critical band alignment region [24,31].

364 For $\chi = 4.0$ eV, the shunt resistance reaches approximately $2.4 k\Omega \cdot cm^2$, indicating the presence of moderate
365 leakage currents. When χ increases to 4.1 eV, R_{sh} decreases to $823 \Omega \cdot cm^2$, and reaches a minimum of $179 \Omega \cdot cm^2$
366 at $\chi = 4.2$ eV. These fluctuations suggest temporary modifications in the junction quality or variations in the density
367 of interfacial defect states.

368 Beyond $\chi = 4.3$ eV, the shunt resistance increases dramatically, rising from $3.8 k\Omega \cdot cm^2$ to nearly $38.4 k\Omega \cdot cm^2$
369 at $\chi = 4.8$ eV. Such large values indicate an efficient suppression of leakage currents and reflect an excellent
370 junction quality from an electrical perspective [25,29].

371 This improvement in R_{sh} contributes significantly to the high fill factor observed at large χ values. Several
372 mechanisms may explain this behavior, including modifications in band alignment that make leakage paths
373 energetically unfavorable, possible passivation of interfacial defects, and redistribution of the internal electric field
374 that reduces parasitic current paths.

375 However, despite the very high R_{sh} and improved fill factor obtained at high χ values, the conversion efficiency
376 remains lower than that achieved for $\chi = 4.0 \text{ eV}$. This confirms that the influence of shunt resistance is secondary
377 compared with that of the open-circuit voltage, which directly depends on recombination mechanisms governed by
378 band alignment at the heterointerface [22,28].

379 **3.10. Summary**

380 The results obtained in this simulation campaign demonstrate that the electron affinity of the In_2S_3 buffer layer plays
381 a decisive role in determining the performance of CIGS solar cells, mainly through its control of the conduction
382 band offset and the associated recombination processes at the heterointerface [27,29].

383 The optimal device performance is obtained for $\chi = 4.0 \text{ eV}$, corresponding to a moderate positive conduction band
384 offset ($\Delta E_c = +0.5 \text{ eV}$) with the CIGS absorber. This spike configuration appears to be optimal despite the presence
385 of a small energetic barrier for electron transport.

386 Although increasing χ significantly improves several resistive parameters: such as the reduction of the series
387 resistance, the increase in shunt resistance, and the improvement of the fill factor, these favorable effects are largely
388 offset by the strong degradation of the open-circuit voltage.

389 Overall, the efficiency decreases by approximately 27% between $\chi = 4.0 \text{ eV}$ and $\chi = 4.8 \text{ eV}$, confirming that
390 control of band alignment and suppression of interfacial recombination are the dominant factors governing the
391 performance of CIGS/ In_2S_3 heterojunction solar cells [25–28].

392 **4. Conclusion**

393 This numerical study performed using the SILVACO ATLAS device simulator demonstrates that the electron
394 affinity of the In_2S_3 buffer layer is a critical parameter controlling the performance of CIGS heterojunction solar
395 cells.

396 The optimal configuration is obtained for $\chi = 4.0 \text{ eV}$, which yields a maximum conversion efficiency of 22.96%
397 and an exceptionally high open-circuit voltage of 0.99 V. This operating point corresponds to a moderate positive
398 conduction band offset ($\Delta E_c = +0.5 \text{ eV}$) that effectively suppresses interfacial recombination at the CIGS/ In_2S_3
399 interface.

400 Although increasing the electron affinity improves carrier transport properties, leading to an 83% reduction in series
401 resistance, a 14% increase in fill factor, and shunt resistance values exceeding $38 \text{ k}\Omega \cdot \text{cm}^2$, these improvements are
402 accompanied by a drastic reduction in the open-circuit voltage (approximately –42%). As a result, the conversion
403 efficiency decreases by nearly 27% between $\chi = 4.0$ and 4.8 eV .

404 The hierarchy of the photovoltaic parameters emerging from this study is therefore clear: the open-circuit voltage
405 plays the dominant role in determining the overall efficiency, while improvements in resistive parameters cannot
406 compensate for increased interfacial recombination.

407 The narrow optimal window identified in this work ($\Delta\chi < 0.1 \text{ eV}$ for $\eta > 22\%$) highlights the importance of
408 precise control of the electron affinity through careful adjustment of material stoichiometry and deposition
409 conditions.

410 These results confirm the strong potential of In_2S_3 as a high-performance and environmentally friendly alternative to
411 CdS buffer layers in CIGS solar cells. Future work should focus on experimental validation of the predicted optimal
412 band alignment and detailed characterization of interfacial electronic properties.

413
414
415
416
417

418 **Abbreviations**
419 **Al**: aluminum
420 **AM1.5G**: Air Mass 1.5 Global solar spectrum
421 **CBO**: Conduction Band Offset
422 **CdS**: Cadmium Sulfide
423 **CIGS**: Copper Indium Gallium Selenide (Cu(In,Ga)Se₂)
424 **E_c**: Conduction Band Minimum Energy
425 **E_g**: Optical Bandgap Energy
426 **EQE**: External Quantum Efficiency
427 **E_{vac}**: Vacuum Energy Level
428 **E_v**: Valence Band Maximum Energy
429 **FF**: Fill Factor
430 **In₂S₃**: Indium Sulfide
431 **J_{sc}**: Short-Circuit Current Density
432 **J-V**: Current–Voltage Characteristic
433 **Mo**: Molybdenum
434 **N_A**: Acceptor Concentration
435 **N_D**: Donor Concentration
436 **η**: Power Conversion Efficiency
437 **P-V**: Power–Voltage Characteristic
438 **P_{max}**: Maximum Output Power
439 **R_s**: Series Resistance
440 **R_{sh}**: Shunt Resistance
441 **SLG**: Soda-Lime Glass
442 **SRH**: Shockley–Read–Hall Recombination
443 **TCAD**: Technology Computer-Aided Design
444 **V_m**: Voltage at Maximum Power Point
445 **V_{oc}**: Open-Circuit Voltage
446 **ZnO**: Zinc Oxide
447 **χ**: Electron Affinity
448

UNDER PEER REVIEW IN IJAR

- 450 [1] Nakamura, M., Yamaguchi, K., Kimoto, Y., Kato, T., Sugimoto, H. et al. "Cd-Free Cu(In,Ga)(Se,S)₂ Thin-
451 Film Solar Cell with Record Efficiency of 23.35%." *Japanese Journal of Applied Physics*, 2019. DOI:
452 10.7567/1347-4065/ab0fd6
- 453 [2] Hariskos, D., Spiering, S., Powalla, M. "Buffer layers in Cu(In,Ga)Se₂ solar cells and modules." *Thin Solid*
454 *Films*, 480–481 (2005) 99–109. <https://doi.org/10.1016/j.tsf.2004.11.118>
- 455 [3] S.Spiering, A.Nowitzki, F. Kessler,M.Igalson, H.Abdel Maksoud « Optimization of buffer-window layer
456 system for CIGS thin film devices with indium sulphide buffer by in-line evaporation » *Solar Energy*
457 *Materials and Solar Cells* Volume 144, (2016), Pages 544-550 <https://doi.org/10.1016/j.solmat.2015.09.038>
- 458 [4] Pistor, P., Caballero, R., Hariskos, D., Kaufmann, C.A. "Quality and stability of compound indium sulphide
459 as source material for photovoltaic applications." *Solar Energy Materials and Solar Cells*, 93 (2009) 148–
460 152. DOI: [10.1016/j.solmat.2008.09.015](https://doi.org/10.1016/j.solmat.2008.09.015)
- 461 [5] B. Traoré, S. Ouédraogo, M. B. Kébré, D. Oubda, I. Sankara, A. Zongo, and F. Zougmore, "Effect of
462 defects at the buffer layer CdS/absorber CIGS interface on CIGS solar cell performance," *Advances in*
463 *Chemical Engineering and Science*, vol. 13, pp. 289–300, 2023. [Online]. Available:
464 <https://www.scirp.org/journal/aces>
- 465 [6] Sáez-Araoz, R., Krammer, J., Harndt, S., Köhler, T., Krüger, M., Pistor, P., Lux-Steiner, M.C., Fischer, C.-
466 H. "ILGAR In₂S₃ buffer layers for Cd-free Cu(In,Ga)(S,Se)₂ solar cells with certified efficiencies above
467 16%." *Progress in Photovoltaics*, 2012. <https://doi.org/10.1002/pip.2268>
- 468 [7] Agoundedemba, M., Baneto, M., Nyenge, R., Musila, N., Toure, K.J.N. "Improving
469 FTO/ZnO/In₂S₃/CuInS₂/Mo solar cell efficiency using Silvaco-Atlas." *International Journal of Renewable*
470 *Energy Development*, 12(6) (2023) 1131–1140. DOI: [10.14710/ijred.2023.57800](https://doi.org/10.14710/ijred.2023.57800)
- 471 [8] SILVACO Inc. *ATLAS User's Manual / Device Simulation Software Documentation*.
- 472 [9] Selberherr, S. *Analysis and Simulation of Semiconductor Devices*. Springer, 1984.
473 <https://link.springer.com/book/10.1007/978-3-7091-8752-4>
- 474 [10] Sze, S.M., Ng, K.K. *Physics of Semiconductor Devices*, 3rd ed., Wiley, 2007.
475 [https://www.semanticscholar.org/paper/Physics-of-Semiconductor-Devices%3A-Sze-Physics-Sze-](https://www.semanticscholar.org/paper/Physics-of-Semiconductor-Devices%3A-Sze-Physics-Sze-Ng/281f3c1d9cc26c75c09510be27e02f11456e36e5)
476 [Ng/281f3c1d9cc26c75c09510be27e02f11456e36e5](https://www.semanticscholar.org/paper/Physics-of-Semiconductor-Devices%3A-Sze-Physics-Sze-Ng/281f3c1d9cc26c75c09510be27e02f11456e36e5) .
- 477 [11] Schroder, D.K. *Semiconductor Material and Device Characterization*, 3rd ed., Wiley, 2006.
478 DOI: [10.1002/0471749095.ch2](https://doi.org/10.1002/0471749095.ch2)
- 479 [12] W. Shockley and W. T. Read, "Statistics of the Recombinations of Holes and Electrons," *Phys. Rev.*, vol.
480 87, no. 5, pp. 835–842, Sep. 1952, [doi:10.1103/physrev.87.835](https://doi.org/10.1103/physrev.87.835)
- 481 [13] R. N. Hall, « Electron-Hole Recombination in Germanium », *Physical Review*, vol.87, issue.2, pp.387
482 387, 1952. DOI : [10.1103/PhysRev.87.387](https://doi.org/10.1103/PhysRev.87.387)
- 483 [14] Carron, R., Avancini, E., Feurer, T., Bissig, B., et al. "Refractive indices of layers and optical simulations
484 of Cu(In,Ga)Se₂ solar cells." *Science and Technology of Advanced Materials*, 19(1) (2018).
485 DOI: [10.1080/14686996.2018.1458579](https://doi.org/10.1080/14686996.2018.1458579)
- 486 [15] Esmaili, P., Asgary, S. "Al³⁺ Doped In₂S₃ Thin Films: Structural and Optical Characterization." *Russian*
487 *Journal of Inorganic Chemistry*, 66(4) (2021) 621–628. DOI: [10.1134/S0036023621040094](https://doi.org/10.1134/S0036023621040094)
- 488 [16] Burstein, E. "Anomalous Optical Absorption Limit in InSb." *Physical Review*, 93 (1954) 632.
489 <https://journals.aps.org/pr/abstract/10.1103/PhysRev.93.632>
- 490 [17] Moss, T.S. "The interpretation of the properties of indium antimonide." *Proceedings of the Physical*
491 *Society. Section B*, 67 (1954) 775. DOI: [10.1088/0370-1301/67/10/306](https://doi.org/10.1088/0370-1301/67/10/306)
- 492 [18] Feneberg, M., Osterburg, G., et al « Band gap renormalization and Burstein-Moss effect in silicon- and
493 germanium-doped wurtzite GaN up to 10²⁰ cm⁻³ » *Physical Review B*, 90 (2014)
494 075203DOI: [10.1103/PhysRevB.90.075203](https://doi.org/10.1103/PhysRevB.90.075203)
- 495 [19] Rockett, A. (2010). The materials science of Cu(In,Ga)Se₂. *Journal of Applied Physics*, 108, 071101.
496 <https://doi.org/10.1063/1.3489391>
- 497 [20] Weinhardt, L.; Heske, C.; Umbach, E.; Niesen, T. P.; Visbeck, S.; Karg, F. "Band alignment at the i-
498 ZnO/CdS interface in Cu(In,Ga)(S,Se)₂ thin-film solar cells." *Applied Physics Letters*, 84, 3175 (2004).
499 DOI: [10.1063/1.1704877](https://doi.org/10.1063/1.1704877).
- 500 [21] Walter, T., Herberholz, R., Müller, C., Schock, H.-W. "Determination of defect distributions from
501 admittance measurements and application to Cu(In,Ga)Se₂ based heterojunctions." *Journal of Applied*
502 *Physics*, 1996. DOI: [10.1063/1.363401](https://doi.org/10.1063/1.363401).

- 503 [22] Burgelman, M., Nollet, P., Degraeve, S. “Modelling polycrystalline semiconductor solar cells.” *Thin Solid*
504 *Films*, 361–362 (2000) 527–532. [https://doi.org/10.1016/S0040-6090\(99\)00825-1](https://doi.org/10.1016/S0040-6090(99)00825-1).
- 505 [23] Kronik, L., Shapira, Y. “Surface photovoltage phenomena: theory, experiment, and applications” *Surface*
506 *Science Reports*, 1999. [https://doi.org/10.1016/S0167-5729\(99\)00002-3](https://doi.org/10.1016/S0167-5729(99)00002-3)
- 507 [24] Minemoto, T., Hashimoto, Y., Satoh, T., et al. (2001). Theoretical analysis of band alignment at
508 CdS/Cu(In,Ga)Se₂ heterojunction. *Journal of Applied Physics*, 89(11), 8327–8330.
509 <https://doi.org/10.1063/1.1366643>
- 510 [25] Green, M. A. (1982). Solar cell fill factors: General graph and empirical expressions. *Solid-State*
511 *Electronics*, 24(8), 788–789. [https://doi.org/10.1016/0038-1101\(81\)90016-6](https://doi.org/10.1016/0038-1101(81)90016-6)
- 512 [26] Wei, S.-H., Zunger, A. (1998). Band offsets and optical bowings of chalcopyrites and related compounds.
513 *Applied Physics Letters*, 72(16), 2011–2013. <https://doi.org/10.1063/1.121267>
- 514 [27] Rau, U., Paetel, S., Werner, J. H. (2003). Band alignment and interface recombination in CIGS-based
515 heterojunction solar cells. *Physical Review B*, 67, 045203. <https://doi.org/10.1103/PhysRevB.67.045203>
- 516 [28] Rau, U., Schmidt, M. (2001). Electronic properties of Cu(In,Ga)Se₂ heterojunction solar cells-recent
517 achievements, current understanding, and future challenges. *Thin Solid Films*, 387(1–2), 141–146.
518 [https://doi.org/10.1016/S0040-6090\(00\)01871-4](https://doi.org/10.1016/S0040-6090(00)01871-4)
- 519 [29] Shockley, W. (1949). The theory of p–n junctions in semiconductors and p–n junction transistors. *Bell*
520 *System Technical Journal*, 28(3), 435–489. <https://doi.org/10.1002/j.1538-7305.1949.tb03645.x>
- 521 [30] Gloeckler, M., Sites, J. R. (2005). Band-gap grading in Cu(In,Ga)Se₂ solar cells. *Journal of Physics and*
522 *Chemistry of Solids*, 66(11), 1891–1894. <https://doi.org/10.1016/j.jpcs.2005.07.024>
- 523 [31] Jackson, P., Wuerz, R., Hariskos, D., et al. “Effects of heavy alkali elements in Cu(In,Ga)Se₂ solar cells ...”
524 *Physica Status Solidi RRL*, 2016. DOI :[10.1002/pssr.201600199](https://doi.org/10.1002/pssr.201600199)
- 525

UNDER PEER REVIEW